ST	ATE OF CALIFORNIA CANDARD AGREEMENT		
STE	D 213 (Rev 06/03)		AGREEMENT NUMBER
			# 09-214-508
			REGISTRATION NUMBER
			# eP 1038441
1.	This Agreement is entered into between to	he State Agency and the	e Contractor named below:
	STATE AGENCY'S NAME		
	Department of Boating and Waterways		
	CONTRACTOR'S NAME		
	COUNTY OF VENTURA, CHANNEL I	ISLANDS HARBOR D	EPARTMENT
2.	The term of this 11/01/09	through	10/31/10
	Agreement is:		
3.	The maximum amount		
	of this Agreement is: \$ 57,000.00		
4.	The parties agree to comply with the terms part of the Agreement.	s and conditions of the fo	ollowing exhibits which are by this reference made a
	Exhibit A – Scope of work		
	Exhibit B – DBW Special Terms and Con	nditions	
	Exhibit C – GTC -304 State of California		
	Exhibit D – CCC -304 Certification		
	Exhibit E - Doing Business with the State	e of California	
	Exhibit F - Darfur Contracting Act		

# IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR	California Department of General Services Use Only	
CONTRACTOR'S NAME (if other than an individual, state whether a corporation, par	tnership, etc.)	Services ose omy
COUNTY OF VENTURA, CHANNEL ISLANDS HARBO	OR DEPARTMENT	
BY (Authorized Signature)	DATE SIGNED(Do not type)	
<b>E</b>		
PRINTED NAME AND TITLE OF PERSON SIGNING		
	,	
ADDRESS		
3900 PELICAN WAY		
OXNARD CA 93035		
STATE OF CALIFORNIA		
AGENCY NAME		
Department of Boating and Waterways		
BY (Authorized Signature)	DATE SIGNED(Do not type)	
Ø		
PRINTED NAME AND TITLE OF PERSON SIGNING		Exempt per:
Raynor Tsuneyoshi, Director		
ADDRESS		
2000 Evergreen Street, Suite 100, Sacramento, CA 95815		

# STATE OF CALIFORNIA

Department of Boating and Waterways 2000 Evergreen Street #100 Sacramento, CA 95815

### **EXHIBIT A**

# **Abandoned Watercraft Abatement Fund-Grant Program**

# **SCOPE OF WORK (Letter of Intent and Hazard Removal List)**

Contractor agrees to provide to Department of Boating and Waterways (DBW) as described herein:

See Letter of Intent/Work plan and Hazard Removal List of Exhibit A.

The services shall be performed in the jurisdiction of COUNTY OF VENTURA, CHANNEL ISLANDS HARBOR DEPARTMENT

The project representatives during the term of this agreement will be:

State Agency: Department of Boating and Waterways	Contractor: COUNTY OF VENTURA, CHANNEL ISLANDS HARBOR DEPARTMENT
Name: Mary Thomas	Name:
Phone: 916-263-8185	Phone:
Fax: 916-263-0357	Fax:
Email: mthomas@dbw.ca.gov	Email:



3900 Pelican Way • Oxnard, CA 93035-4367 • (805) 382-3001 • Fax (805) 382-3015

April 21, 2009

Mary Thomas, Associate Boating Administrator Department of Boating and Waterways Boating Infrastructure Grant Program 2000 Evergreen St., Suite 100 Sacramento, CA 95815-3888

# Subject: \$75,000 GRANT REQUEST FROM THE ABANDONED WATERCRAFT AND ABATEMENT FUND (AWAF)

Dear Ms. Thomas:

Please accept this letter as our request for funding in the amount of \$75,000 from the AWAF. If awarded, this grant money would be used to remove abandoned vessels from the waterways in and adjacent to Channel Islands Harbor. This will be our sixth request for funding from this grant program and to date the County has removed over 40 abandoned vessels from the below noted waterways of the State.

The Channel Islands Harbor is comprised of 310 acres of land and water, bordered by two (2) miles of Open Ocean, beachfront property, and the City of Oxnard inland waterway development. Our harbor is designated by the State of California as a Shallow Small Craft Harbor and has approximately 2,150 wet slips, dry storage for 500 vessels, and a seven (7) lane launch ramp facility, which is used by over 10,000 members of the trailer boating public annually. Additionally, the City of Oxnard Mandalay Development has approximately 1,400 wet storage slips, which are patrolled by the Channel Islands Harbor Patrol.

The Harbor Patrol's primary area of responsibility is all inland waterways noted above and open State waters one mile north, south and seaward of the Harbor's detached breakwater. State water areas may be expanded for life-threatening situations.

The Harbor Patrol serves the boating public 24-hours a day, 365-days of the year, and provides first-in emergency services for all aquatic rescues within their areas of responsibility. Their enforcement authority comes from the Ventura County Board of Supervisors and they are authorized to enforce the Ventura County Harbor Ordinance

Devised 9-2007

DBW-AWAF-3 (6/05)

WATER HAZARD REMOVAL LIST (WHRL)-WORKSHEET

**EXHIBIT A** 

rage 1 of 2

Project	Vessel Name/	Type of Hazard	of Haz			Vassal Ran #	l pronth	11:17						
Number	Description and Location	please check AV = Abando	heck	please check AV = Abandoned Vessel			ng ng	Σ	-	Estimate	Comment	Hazard D = Di	Hazard Revision: D = Disposed	Ë
		NH = Navig EH = Environ O = Other	avigation vironm her	NH = Navigational Hazard EH = Environmental Hazard O = Other	p p				Chp. 3 Article 1 please check			S = Sold R = Recl	S = Sold R = Reclaimed	
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1	1964 Tollycraft / 3700 Peninsula Rd. Cl Harbor	×	×			CF 1015 SV	32'	Wood	×	6,000.00				
7	1968 West Built / 3700 Peninsula Rd. Cl Harbor	×	×			CF 3572 HV	34.9"	Wood	×	6,000.00			10	
m	1971 Bristol / 3700 Peninsula Rd. Cl Harbor	×	×			CF 1789 EZ	25'	Fiberglas	×	3,500.00				
4	1969 Luhrs / 3700 Peninsula Rd. CI Harbor	×	×			CF 0072 EE	32'	Fiberglas	×	6,000.00			5	
ro.	1978 Unifite / 3700 Peninsula Rd. Ci Harbor	×	×			CF 2768 GE	26'	Fibergla	×	4,500.00			> (	
9	1977 Catalina / 3150 S. Harbor Blvd. Cl Harbor	×	×			CF 9955 GA	27.	Fiberglas	×	4,000.00				T
7 1	1972 Coronado / 3150 S. Harbor Blvd. Cl Harbor	×	×			CF 8649 ES	30,	Fiberglas	×	4,500.00			)	
ω 	S/V "Skye" / 3150 S. Harbor Blvd. Cl Harbor	×	×			Hin13K106841	41.	Wood	×	7,000.00				
O	1973 Rough Water / 3150 S. Harbor Blvd. Cl Harbor	×	×			CF 9530 FE	11.	Wood	×	7,000.00		1	5	T
10	1979 Unifilte / 3150 S. Harbor Blvd. Cl Harbor	×	×			CF 3374 JG	32,	Fiberglas s	×	00'000'9				
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rage 1 of 2

**EXHIBIT A** 

WATER HAZARD REMOVAL LIST (WHRL)-WORKSHEET

State of Calib....a
Department of Boating and Waterways
WATER HAZARD REMOVAL LIST

DBW-AWAF-3 (6/05)

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09-24-508



# CHANNEL ISLANDS HARBOR Ventura County Harbor Department

Lyn Krieger Director

3900 Pelican Way • Oxnard, CA 93035-4367 • (805) 382-3001 • Fax (805) 382-3015

September 10, 2009

Mary Thomas Operations Division Department of Boating & Waterways 2000 Evergreen Street, Suite 100 Sacramento, CA 95815

Dear Ms. Thomas:

Please accept this letter as the Ventura County Harbor Department's request for an addition to the original Water Hazard Removal List worksheet submitted on April 21, 2009.

Enclosed for your review is documentation to add the following vessels to the Water Hazard Removal List. There are 6 recreational vessels added to the list, which are abandoned vessels number 14 through 19. I have enclosed pictures of the vessels.

Vessels listed as numbers 2, 4, 5, 6, 9 & 10 have been "sold" and are documented as such under the Hazard Revision section.

Should you require any additional information please contact me at (805) 382-3007.

Sincerely,

Gary Hirtensteiner Harbor Captain

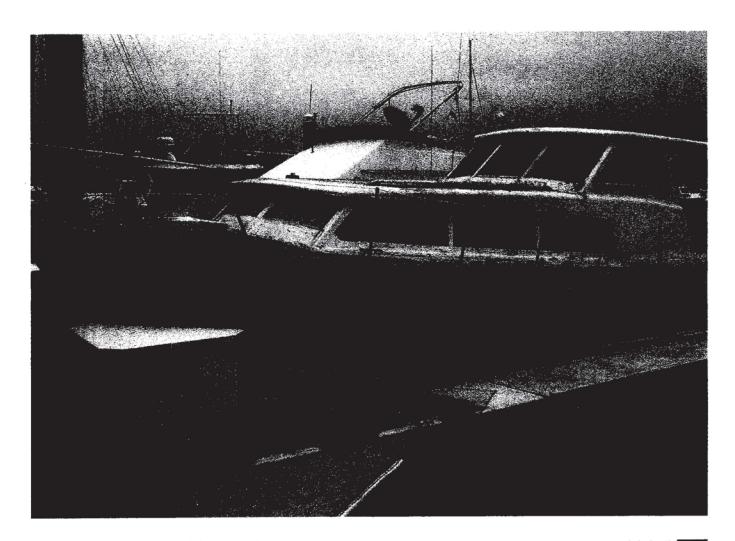
**Enclosures** 

**EXHIBIT A** 

State of California
Department of Boating and Waterways
WATER HAZARD REMOVAL LIST
DBW-AWAF-3 (6/05)

# WATER HAZARD REMOVAL LIST (WHRL)-WORKSHEET

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I Revises	heck on	S										
Hazard Revision: D = Disposed S = Sold R = Reclaimed	please check one	٥										
Comment												
Cost Estimate			\$8,000	3,500.00	3,500.00	4,000.00	3,500.00	3,000.00	4,000.00			
iance (C	heck	ટ										
Compliance w/ H&NC Chp. 3	please check	Yes	×	×	×	×	×	×	×			
Hull Material			Wood	Fiberglas	Fiberglas	Wood	Wood	Wood	Fiberglas			
Length			35'	24	24	25	24	24	26			
Vessel Reg. # or HIN #			CF 1234 XC	CF 6529 GL	CF 4671 GT	CF 4439 CK	CF 2098 CC	CF 8198 KB	CF 4918 EP			
	ard	0										
rd: i Vessel al Hazar	please check AV = Abandoned Vessel NH = Navigational Hazard EH = Environmental Hazard O = Other	핇										
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Vessel Name/ Description <u>and</u> Location			Albatross / 2 mi south of Veteran's Bridge	1979 American / 3850 S. Harbor Blvd. Cl Harbor	1978 Bayliner / 3850 S. Harbor Blvd. Cl Harbor	1966 Wesco / 3850 S. Harbor Blvd. CI Harbor	Year ? Contplas / 3850 S. Harbor Blvd. CI Harbor	Not on File / 3850 S. Harbor Blvd. Cl Harbor	Not on File / 3850 S. Harbor Blvd. Cl Harbor			
Project Number			1	14	15	16	47	18	19			



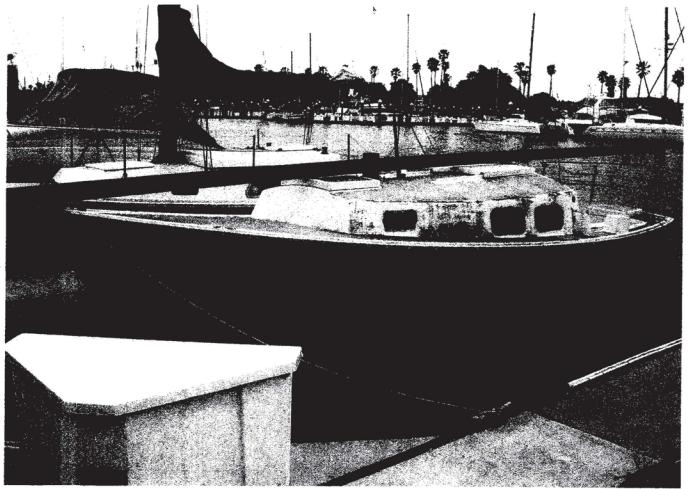


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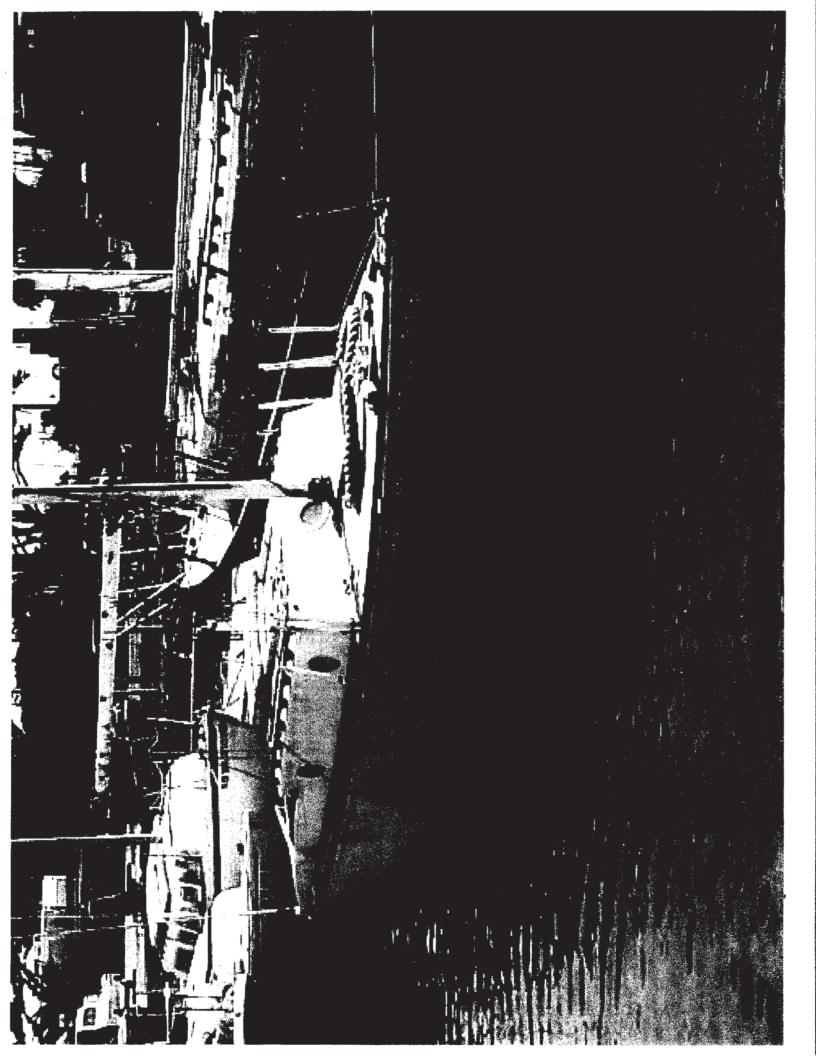


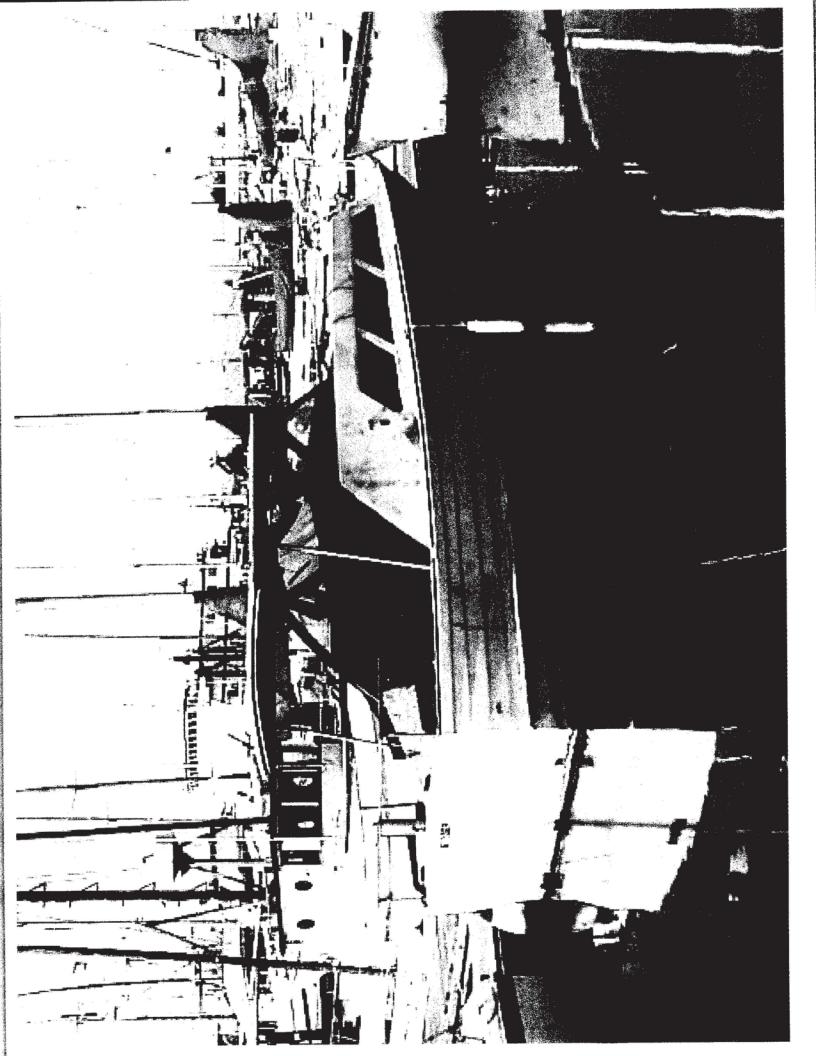


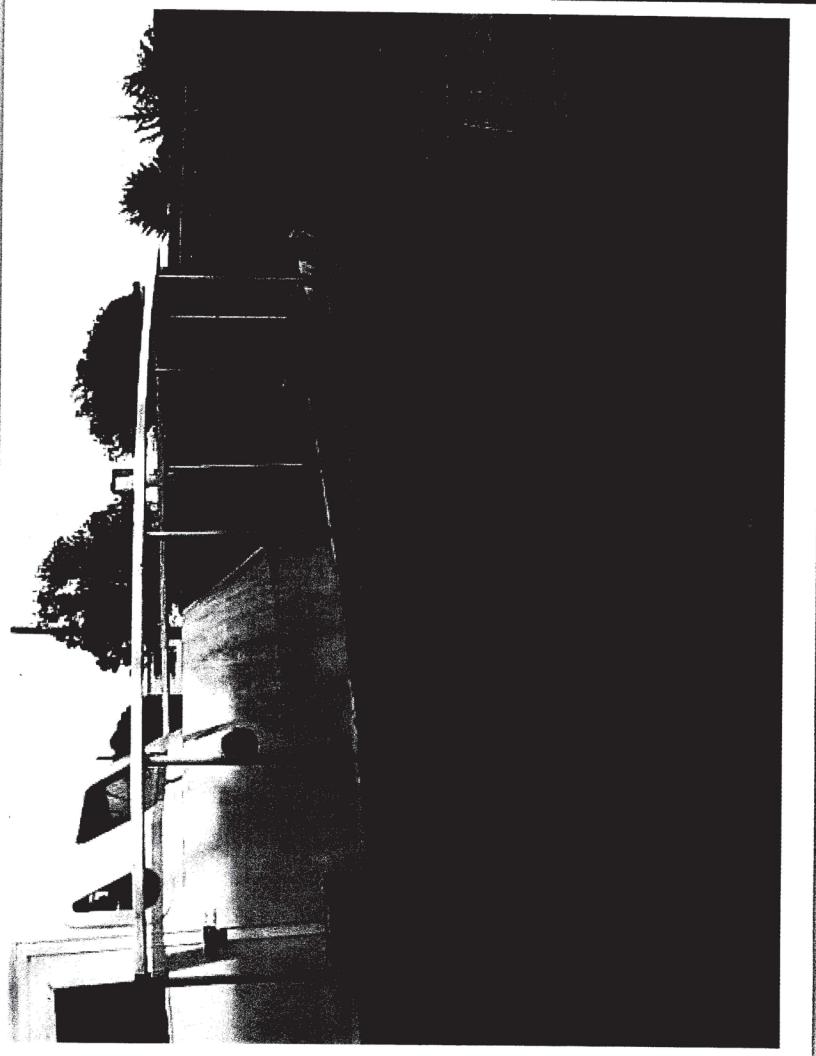


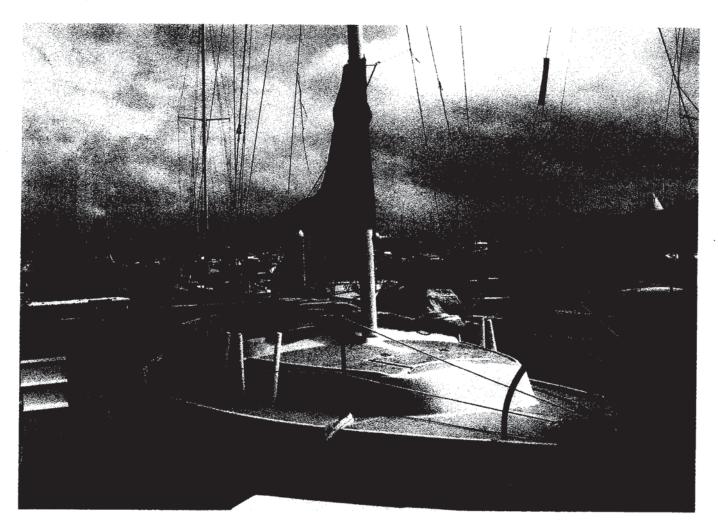
CF 1789 EZ

1971 BRISTOL







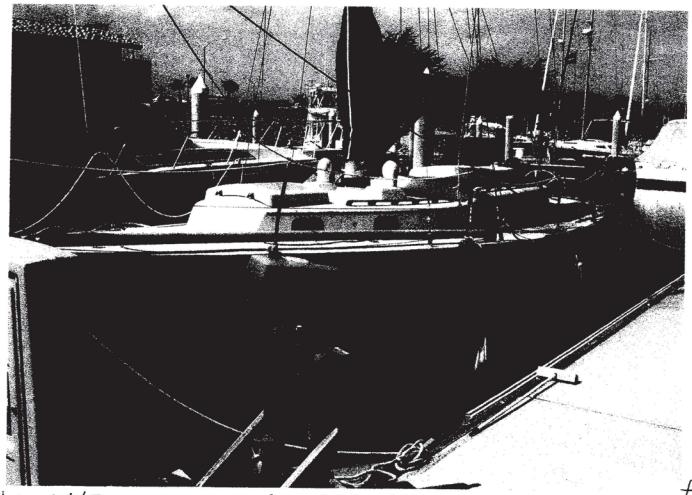




CF 8L49 FS

1972 FRONNAND

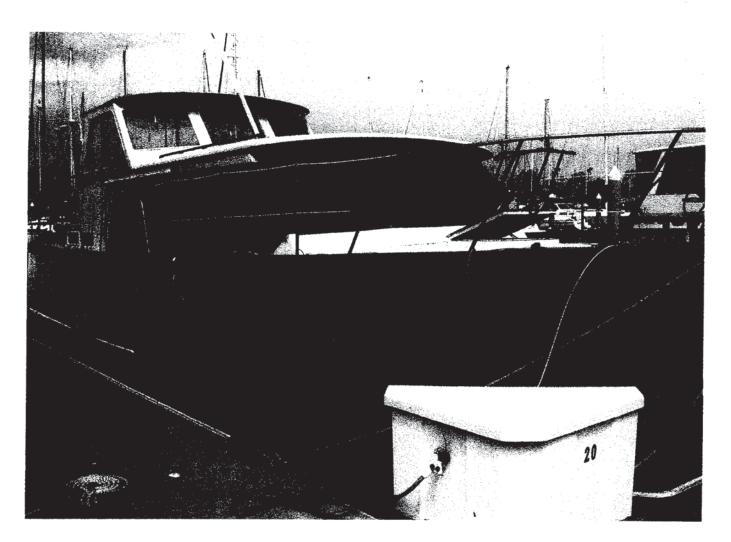


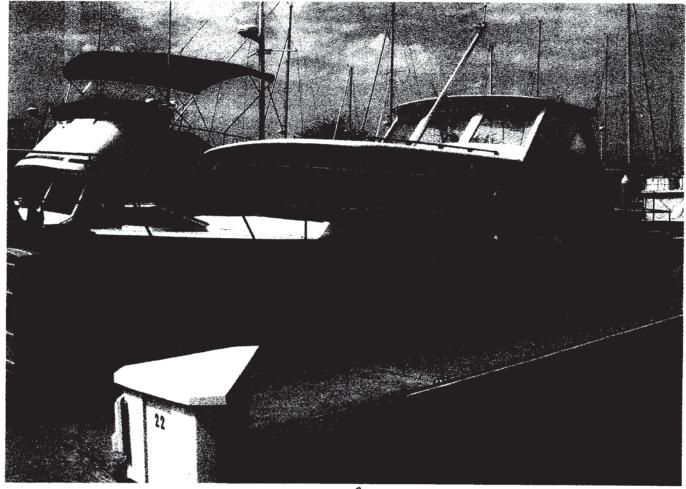


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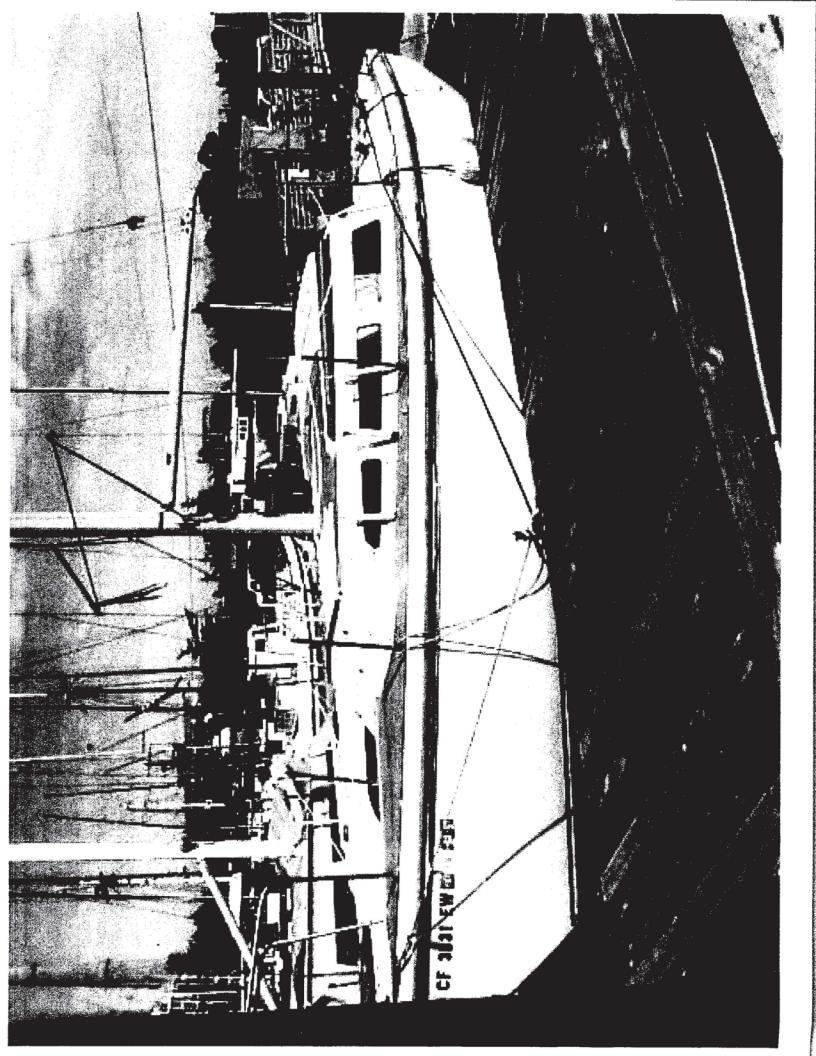




CF 9530 FE

1973 ROUGHWATER

#9



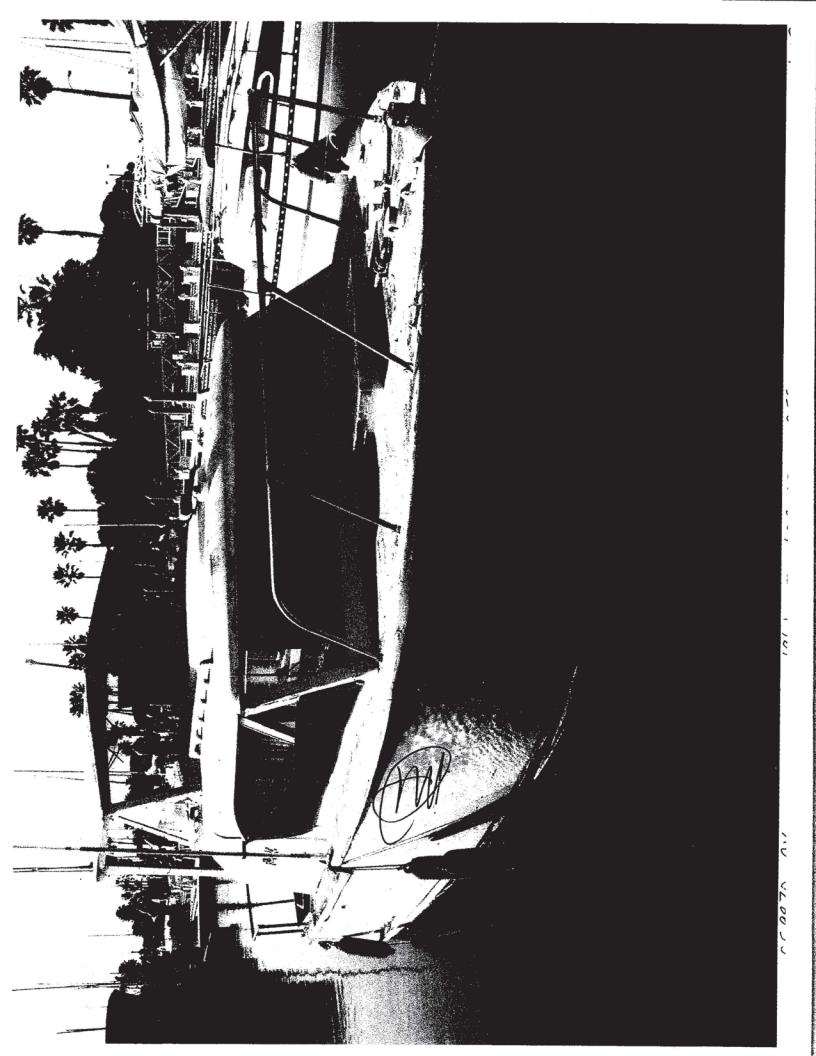




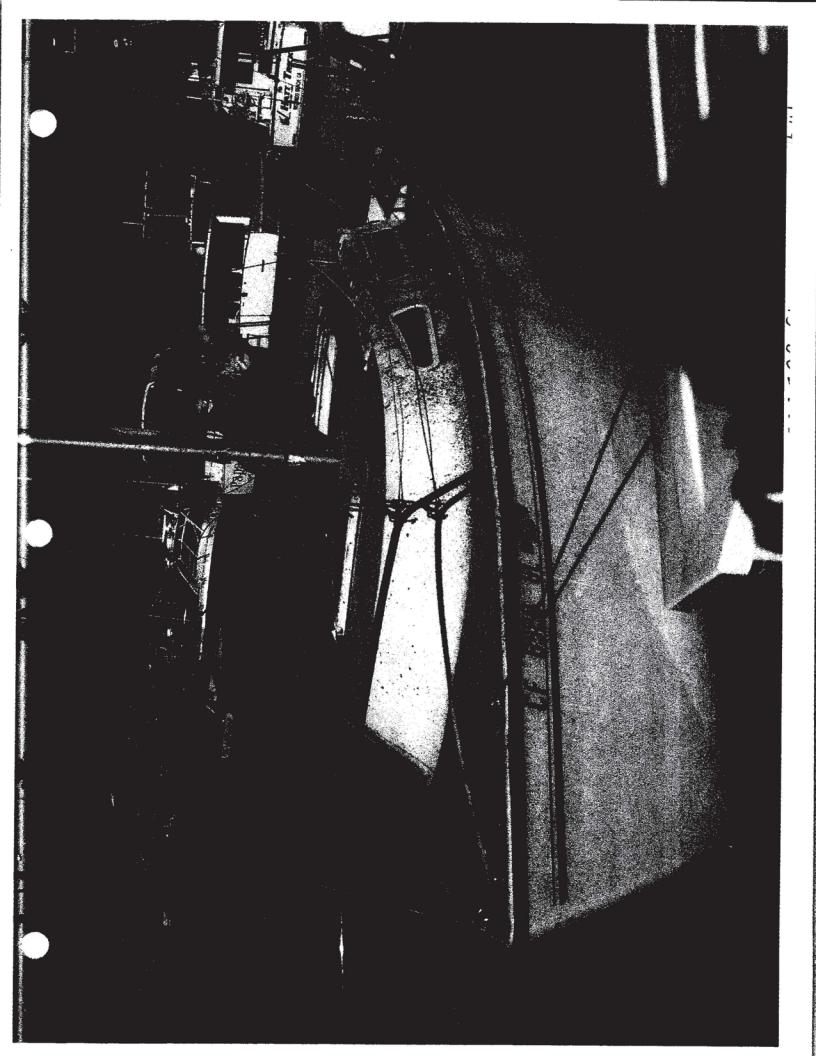
1994 TEFFRENS

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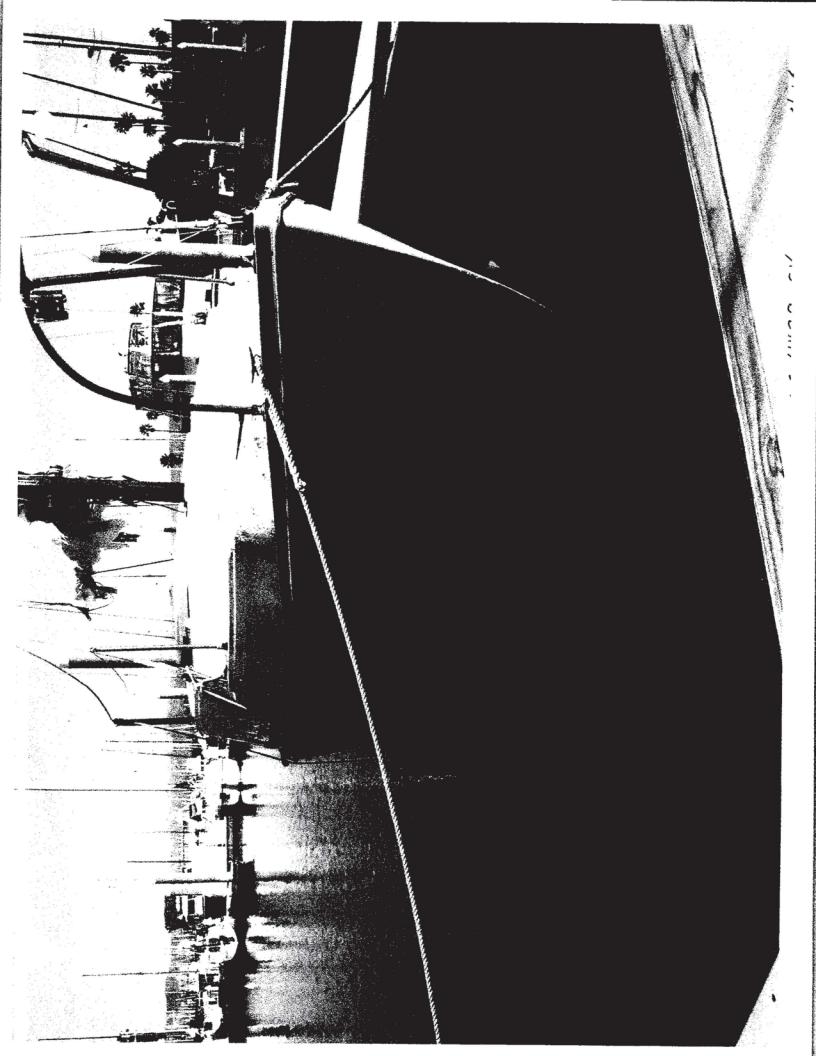


















# STATE OF CALIFORNIA

Department of Boating and Waterways 2000 Evergreen Street #100 Sacramento, CA 95815

# EXHIBIT B Abandoned Watercraft Abatement Fund-Grant Program

# 1. <u>NEEDS AND OBJECTIVES OF DBW</u>

Pursuant to its authority under Harbors and Navigation Code section 525, the Department of Boating and Waterways ("DBW") wishes to contract with Grantee for the removal and disposal of abandoned, wrecked or dismantled vessels, or parts thereof, or any other partially submerged objects (hereinafter "eligible water hazards") which pose a substantial hazard to navigation within Grantee's jurisdiction as listed on the Hazard Removal List Worksheet, found in Exhibit A.

# 2. WATER HAZARDS ELIGIBLE FOR REMOVAL AND DISPOSAL

The funds provided under this Agreement shall be used for the removal, storage and disposal of eligible water hazards. For purposes of this Agreement, "abandoned" is defined in Harbors and Navigation Code section 522(a):

"Any hulk, derelict, wreck, or parts of any ship, vessel, or other watercraft sunk, beached, or allowed to remain in an unseaworthy or dilapidated condition upon publicly owned submerged lands, salt marsh, or tidelands within the corporate limits of any municipal corporation or other public corporation or entity having jurisdiction or control over those lands, without its consent expressed by resolution of its legislative body, for a period longer than 30 days without a watchman or other person being maintained upon or near and in charge of the property, is abandoned property".

DBW has determined that the vessels/water hazards listed in Exhibit A are eligible for funding under this Agreement. Eligibility for funding of additional vessels/water hazards under this agreement shall require the prior approval of DBW. All requests must be submitted in writing including a revised Water Hazard Removal List accompanied by photos that clearly identify the vessel(s)/water hazard(s).

The funds provided under this Agreement shall not be utilized for abatement, removal, storage, or disposal of commercial vessels. Commercial vessels include those vessels for which the most recent registration or documentation was commercial, even though that registration or documentation may have lapsed.

If Grantee is reimbursed for the costs related to salvage and storage of an eligible water hazard by the registered or legal owner or other person known to have an interest in the water hazard, then the water hazard shall no longer be eligible for funding under this Agreement. Grantee shall notify DBW in writing of such reimbursement and shall return all fund disbursed by DBW to Grantee with respect to such water hazard immediately.

### 3. RIGHT OF INSPECTION

Grantee shall allow DBW and other state agency representatives, at any reasonable time, to inspect any site where Grantee or its subcontractors are performing work under this Agreement.

## 4. HAZARDOUS MATERIALS

Grantee shall be responsible for securing any necessary or prudent studies, permits, or authorizations associated with treatment, removal, storage, or any other handling of hazardous substances including, but not limited to, toxic waste, petroleum waste, asbestos, and similar substances, prior to the removal of any vessel and water hazard pursuant to this Agreement.

Grantee shall be responsible for the proper and lawful handling, storage and disposal of any hazardous substances encountered in the execution of this Agreement.

### 5. TITLES AND LIENS

Grantee shall comply with all relevant provisions of the Harbors and Navigation Code regarding notices, hearings and liens in the performance of this Agreement. Grantee (in conjunction with local law enforcement) shall conduct a title search for all vessels presumed to be abandoned, as provided by Harbors and Navigation Code section 526.

### 6. MEDIA

Grantee agrees to acknowledge DBW's financial support whenever work funded by this Agreement is publicized in any news media, brochures, or other type of promotional material.

# 7. OUTSIDE SERVICES

It is understood and agreed that, at its discretion, DBW reserves the right to obtain marine salvage services outside the terms of this Agreement.

# 8. PERMITS AND DOCUMENTATION

Prior to the removal of any eligible water hazard, the Grantee shall obtain all necessary permits, authorizations, and documentation necessitated by any applicable provision of law.

### 9. SECURING OF BIDS

Grantee shall comply with any applicable laws and regulations governing the competitive bidding process when awarding subcontracts to marine salvage companies under this Agreement.

## 10. SUBCONTRACTORS

The Grantee warrants that any marine salvage company performing work under this Agreement holds a valid business license and carries general commercial liability insurance coverage sufficient to fully insure against any and all risks of hazardous activities associated with the work to be performed under this Agreement. Grantee shall provide DBW with a certificate of insurance from any subcontractor prior to the commencement of any work under this Agreement.

# 11. TRAFFIC CONTROL AND TRAFFIC SAFETY

The Grantee shall provide for adequate traffic control and safety measures at any site where Grantee and its subcontractors will perform any work under this Agreement.

# 12. AIR OR WATER POLLUTION VIOLATION

Grantee warrants that it is not (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to any cease and desist order not subject to review issued pursuant to Water Code section 13301 for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

# 13. ENTIRE AGREEMENT

This Agreement consists of the terms of this Agreement and all attachments, which are expressly incorporated herein. No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required.

# 14. APPROVAL OF CONTRACT AND AMENDMENTS

This Agreement and any variation thereto is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Commencement of performance prior to approval of this Agreement will be at the Grantee's own risk.

# 15. <u>DISABLED VETERAN BUSINESS ENTERPRISE (DVBE) PARTICIPATION REQUIREMENT</u>

There are no Disabled Veteran Business Enterprise participation requirements with this contract.

# 16. AUTHORITY TO CONTRACT

Grantee must provide DBW with evidence of its authority to enter into this Agreement. Grantee may provide a delegation of contracting authority from its local governing body that by law has authority to contract. Alternatively, Grantee shall provide DBW with a resolution, order, motion, or ordinance of its local governing body that by law has authority to contract, authorizing execution of this Agreement.

# 17. COMPLIANCE WITH LAW AND REGULATIONS

Grantee and its subcontractor(s) shall comply with all applicable laws and regulations of the State of California for all work to be performed under this Agreement. By signing this Agreement, Grantee certifies its compliance and the compliance of all subcontractors with: (a) applicable provisions of the California Environmental Quality Act; (b) Nondiscrimination Program requirements of Government Code section 12990 (a-f) and Title 2, California Code of Regulations, section 8103 (and section 8113 in contracts over \$5,000) along with section 7285 et. seq. of the Fair Employment and Housing Act; (c) Drug-Free Workplace requirement of Government Code section 8350 et seq.; (d) National Labor Relations Board Certification of Public Contract Code section 10296; (e) Workers' Compensation requirement of Labor Code section 3700; and (f) Americans with Disabilities Act regulations issued pursuant to 42 U.S.C. section 12101 et seq.

# 18. INDEPENDENT CONTRACTOR

Grantee and its employees are independent contractors and shall not be considered officers or employees of DBW or agents of the State of California. Neither DBW nor the State shall have any obligation to pay or to enforce any payment by Grantee to any subcontractor.

# 19. INSURANCE

The removal, storage and disposal of vessels under this Agreement is a hazardous activity. Grantee therefore must maintain commercial general liability insurance in an amount and of a type acceptable to DBW and to the Department of General Services / Office of Risk and Insurance Management (ORIM).

Grantee must furnish a certificate of insurance to DBW stating the following:

- A. Grantee currently carries commercial general liability insurance of not less than \$1,000,000 per occurrence for bodily injury and property damage combined [or more specific and greater coverage].
- B. The hazardous activities to be performed under this Agreement are covered under Grantee's insurance.
- C. The insurer shall not cancel Grantee's coverage without 30 days prior written notice to DBW.
- D. The State of California, its officers, agents, employees, and servants are included as additional insurers with respect to the work performed for DBW under this Agreement.

If Grantee is self-insured, Grantee must provide DBW written explanation of the self-insurance program, which must be approved by ORIM. Grantee warrants that its liability insurance shall be in effect at all times during the term of this contract. In the event Grantee's insurance coverage expires at any time during the term of this Agreement, Grantee agrees to provide, at least 30 days before said expiration date, a new certificate of insurance evidencing insurance coverage as provided for herein and for not less than the remainder of the term of the contract or for a period of not less than one year. New certificates of insurance are subject to the approval of the Department of General Services. Grantee agrees that no work or services shall be performed prior to such approval.

If Grantee fails to keep the required insurance in effect at all times during the term of this contract, DBW may, in addition to other remedies it may have, terminate this contract upon two days written notice.

# 20. TERMINATION

DBW may terminate this Agreement for any reason upon thirty (30) days written notice to Grantee. Further, DBW may, by two-day written notice to Grantee and without any prejudice to its other remedies, terminate this contract because of failure of Grantee to fulfill its contract obligations. Upon receipt of any notice terminating this Agreement, Grantee shall immediately discontinue all removal and disposal activities affected, unless the notice directs otherwise. In such event, DBW shall pay Grantee only for removal and disposal activities completed prior to the termination date. Grantee shall promptly return all advanced funds. At DBW's sole discretion, DBW may offer an opportunity to cure any breach prior to terminating for default.

# 21. ASSIGNMENT

This Agreement is not assignable by the Grantee, either in whole or in part, without the consent of the State in the form of a formal written amendment.

# 22. MATCHING FUND REQUIREMENT

Section 525(C) of the Harbors and Navigation Code states, "A grant awarded by the department pursuant to subparagraph (A) shall be matched by a 10-percent contribution from the local agency receiving the grant".

The 10-percent contribution is in addition to funds awarded in the grant. The grantee's contribution must be expended on projects declared on the Water Hazard Removal List found in Exhibit A.

The burden of proof in complying with the 10-percent contribution requirement is the responsibility of the grantee. Grant funds will not be disbursed until the grantee has complied with the 10-percent contribution requirement.

# 23. BUDGET DETAIL AND PAYMENT PROVISIONS

Invoicing and Payment

- A. DBW will reimburse the grantee for actual expenditures within the scope of the AWAF program upon written request by Grantee. Reimbursement for any request must be substantiated by submitting invoices and photos of the request to DBW. In addition, all requests shall reference the Water Hazard Removal List (Exhibit A). Note: Food expenditures are not reimbursable through the AWAF program.
- B. Invoices submitted to DBW for payment must contain the following:
  - 1. Name and address of Grantee
  - 2. Contract Number
  - 3. Date the service was performed
  - 4. Location of each service

Invoices must be itemized, extended, totaled and mailed in triplicate to:

Department of Boating and Waterways Enforcement Unit - AWAF Attention: Mary Thomas 2000 Evergreen Street, Suite 100 Sacramento, CA 95815

- C. Submission of fraudulent invoices or other claim documentation is a breach of this Agreement, which shall result in forfeiture of all funds advanced and provided under this Agreement.
- D. All requests for payment must be submitted to DBW no later than 45 days prior to the expiration date of the contract. DBW is not obligated to make payment on any invoice received or to any services completed after this date.

# 24. BUDGET CONTINGENCY CLAUSE

- A. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program; this Agreement shall be of no further force and effect. In this event, DBW shall have no liability to pay any funds whatsoever to Grantee or to furnish any other considerations under this Agreement and Grantee shall not be obligated to perform any provisions of this Agreement.
- B. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, DBW shall have the option to either cancel this Agreement with no liability occurring to DBW, or offer an agreement amendment to Grantee to reflect the reduced amount.

### STATE OF CALIFORNIA

Department of Boating and Waterways 2000 Evergreen Street #100 Sacramento, CA 95815

# **EXHIBIT C - GTC 304**

# **GENERAL TERMS AND CONDITIONS**

- 1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.
- 2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.
- 3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
- 4. <u>AUDIT</u>: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (GC 8546.7, PCC 10115 et seq., CCR Title 2, Section 1896).
- 5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.
- 6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.

- 7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.
- 8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.
- 9. <u>RECYCLING CERTIFICATION</u>: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of recycled content, both post consumer waste and secondary waste as defined in the Public Contract Code, Sections 12161 and 12200, in materials, goods, or supplies offered or products used in the performance of this Agreement, regardless of whether the product meets the required recycled product percentage as defined in the Public Contract Code, Sections 12161 and 12200. Contractor may certify that the product contains zero recycled content. (PCC 10233, 10308.5, 10354)
- 10. NON-DISCRIMINATION CLAUSE: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

- 11. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 304 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.
- 12. TIMELINESS: Time is of the essence in this Agreement.

- 13. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.
- 14. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.
- 15. <u>ANTITRUST CLAIMS</u>: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.
- a. The Government Code Chapter on Antitrust claims contains the following definitions:
- 1). "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.
- 2). "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.
- b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.
- c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

- 16. <u>CHILD SUPPORT COMPLIANCE ACT</u>: "For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with, that:
- a). The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- b) The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department."
- 17. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.
- 18. <u>UNION ACTIVITIES</u> For all contracts, except fixed price contracts of \$50,000 or less, the Contractor acknowledges that:

By signing this agreement Contractor hereby acknowledges the applicability of Government Code Section 16645 through Section 16649 to this agreement and agrees to the following:

- a) Contractor will not assist, promote or deter union organizing by employees performing work on a state service contract, including a public works contract.
- b) No state funds received under this agreement will be used to assist, promote or deter union organizing.
- c) Contractor will not, for any business conducted under this agreement, use any state property to hold meetings with employees or supervisors, if the purpose of such meetings is to assist, promote or deter union organizing, unless the state property is equally available to the general public for holding meetings.
- d) If Contractor incurs costs, or makes expenditures to assist, promote or deter union organizing, Contractor will maintain records sufficient to show that no reimbursement from state funds has been sought for these costs, and that Contractor shall provide those records to the Attorney General upon request.

### STATE OF CALIFORNIA

Department of Boating and Waterways 2000 Evergreen Street #100 Sacramento, CA 95815

### **EXHIBIT D - CCC-304**

# **CERTIFICATION**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)	COUNTY OF	Federal ID Number
VENTURA, CHANNEL ISLANDS HARE	BOR DEPARTMENT	
By (Authorized Signature)		
Printed Name and Title of Person Signi	ng	
Date Executed	Executed in the County	of

### **CONTRACTOR CERTIFICATION CLAUSES**

- 1. <u>STATEMENT OF COMPLIANCE</u>: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (GC 12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)
- 2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

- b. Establish a Drug-Free Awareness Program to inform employees about:
- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (GC 8350 et seq.)

- 3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (PCC 10296) (Not applicable to public entities.)
- 4. <u>UNION ORGANIZING</u>: Contractor hereby certifies that no request for reimbursement, or payment under this agreement, will seek reimbursement for costs incurred to assist, promote or deter union organizing.
- 5. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT:</u> Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

6. <u>EXPATRIATE CORPORATIONS</u>: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

### 7. SWEATFREE CODE OF CONDUCT:

- a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweat free Code of Conduct as set forth on the California Department of Industrial Relations website located at <a href="https://www.dir.ca.gov">www.dir.ca.gov</a>, and Public Contract Code Section 6108.
- b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).
- 8. <u>DOMESTIC PARTNERS</u>: Commencing on July 1, 2004 Contractor certifies that it is in compliance with Public Contract Code section 10295.3 with regard to benefits for domestic partners. For any contracts executed or amended, bid packages advertised or made available, or sealed bids received on or after July 1 2004 and prior to January 1, 2007, a contractor may require an employee to pay the costs of providing additional benefits that are offered to comply with PCC 10295.3.

### **EXHIBIT E**

# DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. <u>CONFLICT OF INTEREST</u>: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (PCC 10410):

- 1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- 2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services:

Former State Employees (PCC 10411):

- 1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (PCC 10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (PCC 10430 (e))

2. <u>LABOR CODE/WORKERS' COMPENSATION</u>: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and

Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

- 3. <u>AMERICANS WITH DISABILITIES ACT</u>: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)
- 4. <u>CONTRACTOR NAME CHANGE</u>: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

### 5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

- a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.
- b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.
- c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.
- 6. <u>RESOLUTION</u>: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.
- 7. <u>AIR OR WATER POLLUTION VIOLATION</u>: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.
- 8. <u>PAYEE DATA RECORD FORM STD. 204</u>: This form must be completed by all contractors that are not another state agency or other governmental entity.

## **EXHIBIT F**

# Bid/Proposal Attachment regarding the Darfur Contracting Act of 2008

Effective January 1, 2009, all Invitations for Bids (IFB) or Requests for Proposals (RFP) for goods or services must address the requirements of the Darfur Contracting Act of 2008 (Act). (Public Contract Code sections 10475, *et seq.*; Stats. 2008, Ch. 272). The Act was passed by the California Legislature and signed into law by the Governor to preclude State agencies generally from contracting with "scrutinized" companies that do business in the African nation of Sudan (of which the Darfur region is a part), for the reasons described in Public Contract Code section 10475.

A scrutinized company is a company doing business in Sudan as defined in Public Contract Code section 10476. Scrutinized companies are ineligible to, and cannot, bid on or submit a proposal for a contract with a State agency for goods or services. (Public Contract Code section 10477(a)).

Therefore, Public Contract Code section 10478 (a) requires a company that currently has (or within the previous three years has had) business activities or other operations outside of the United States to certify that it is not a "scrutinized" company when it submits a bid or proposal to a State agency. (See # 1 on the sample Attachment).

A scrutinized company may still, however, submit a bid or proposal for a contract with a State agency for goods or services if the company first obtains permission from the Department of General Services (DGS) according to the criteria set forth in Public Contract Code section 10477(b). (See # 2 on the sample Attachment).

The following sample Attachment may be included in an IFB or RFP to satisfy the Act's certification requirements of bidders and proposers.

### EXHIBIT F – DARFUR CONTRACTING ACT

Pursuant to Public Contract Code section 10478, if a bidder or proposer currently or within the previous three years has had business activities or other operations outside of the United States, it must certify that it is not a "scrutinized" company as defined in Public Contract Code section 10476.

Therefore, to be eligible to submit a bid or proposal, please complete <u>only one</u> of the <u>following</u> three paragraphs (via initials for Paragraph # 1 or Paragraph # 2, or via initials and certification for Paragraph # 3):

We do not currently have, or we have not had within the previous

1.

	Initials	three years, busine United States.	ess activities or other operati	ons outside of the					
			OR						
2.	Initials	section 10476, but Department of Gen pursuant to Public	ed company as defined in Pu we have received written pe neral Services (DGS) to subr Contract Code section 1047 from DGS is included with o OR	ermission from the mit a bid or proposal 7(b). A copy of the					
3.			, or we have had within the p						
	Initials business activities or other operations outside of the United States,								
+ certification but we certify below that we are not a scrutinized company									
	below	as defined in Public	c Contract Code section 104	76.					
I, the of legally	bind the prospec	ow, CERTIFY UNDER F	PENALTY OF PERJURY that I am the clause listed above in # 3. Th						
Propose	er/Bidder Firm N	ame (Printed)		Federal ID Number					
By (Autl	horized Signatur	е)							
Printed	Name and Title	of Person Signing							
Date Executed in the County and State of									

YOUR BID OR PROPOSAL WILL BE DISQUALIFIED UNLESS YOUR BID OR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH #1 OR #2 INITIALED OR PARAGRAPH #3 INITIALED AND CERTIFIED